



**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

James Allen Cox et al.

Serial No.: 09/751,422

Filed: December 29, 2000

For: RESONANT REFLECTOR FOR USE WITH OPTOELECTRONIC DEVICES

Docket No.: 1100.1130101

**TRANSMITTAL SHEET**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of :EL837557730US in an envelope address to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 23rd day of March, 2001

By \_\_\_\_\_

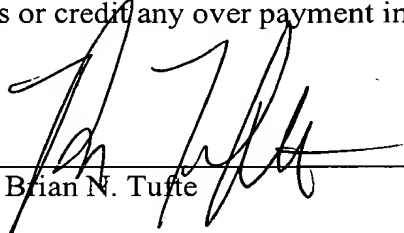
*Jolene Alger*  
Jolene Alger

We are transmitting herewith the attached:

- [ ] Amendment  
[ ] No additional fee required  
[ ] The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAININ G CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-			x9=	\$	x18=	\$
INDEPEN- DENT CLAIMS				x39=	\$	X78=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+130=	\$	+260=	\$
TOTAL				\$		\$	

- [ XX ] A check in the amount of \$1512 [the basic filing fee (\$710), surcharge (\$130) and additional claims fee (\$672)] is enclosed.
- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [ XX ] Other: Response to Notice to File Missing Parts, "Part 2-Copy to be returned with Response" copy of the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b)-Filing Date Granted, and Executed Declaration and Power of Attorney.
- [XXXX] Please charge any deficiencies or credit any over payment in the enclosed fees to Deposit Account 50-0413.

By:   
Brian N. Tufte  
Reg. No. 38,638

Brian N. Tufte  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, MN 55401  
(612) 677-9050



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By \_\_\_\_\_

*Jolene Alger*  
Jolene Alger

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

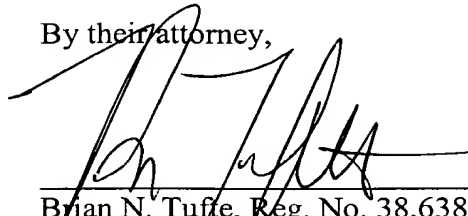
To complete the above-captioned application, please find enclosed the "PART 2-COPY TO BE RETURNED WITH RESPONSE" copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(B) - FILING DATE GRANTED, a Declaration signed by the named inventors, and a check in the amount of \$1512 [the basic filing fee (\$710), surcharge (\$130) and additional claims fee (\$672)]. Permission is hereby granted to charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

Any inquiry regarding the above-referenced matter may be directed to the undersigned representative.

Respectfully submitted,

James Allen Cox et al.

By their attorney,

A handwritten signature in black ink, appearing to read "Brian N. Tufte", is written over a horizontal line.

Brian N. Tufte, Reg. No. 38,638  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
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Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

Dated: March 23, 2021



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,422	12/29/2000	James Allen Cox	1100.1130101

CONFIRMATION NO. 7848

## FORMALITIES LETTER



\*OC000000005760201\*

Brian N. Tufte  
CROMPTON, SEAGER & TUFTE, LLC  
Suite 895  
331 Second Avenue South  
Minneapolis, MN 55401-2246

Date Mailed: 02/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$672.
  - \$432 for 24 total claims over 20.
  - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1512.

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/28/2001 CCHAU1 00000110 09751422  
01 FC:101 710.00 00  
02 FC:103 432.00 00  
03 FC:102 240.00 00  
04 FC:105 130.00 00

